

2010年中国土地覆被遥感监测数据集(ChinaCover2010)



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◎ 百种中国杰出学术期刊
遥感宇根。
RENSING!
Yaogan Xuebao
第 17 卷 第 4 期 2013 年 7 月
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Multi-look SAR image segmentation based on voronoi tessellation technique and EM/MPM algorithm

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Abstract: We propose a novel multi-look synthetic aperture radar image segmentation method that combines Voronoi tessellation, expectation maximization (EM), and maximization of the posterior marginal (MPM) technology. The image domain is partitioned into a group of sub-regions by Voronoi tessellation, each of which is a component of homogeneous regions. Then a multi-look SAR image is modeled on the supposition that the intensities of pixels in each homogenous region satisfy an identical and independent gamma distribution. The image segmentation model is constructed based on the Bayesian paradigm. Finally, the EM/MPM algorithm, which integrates the EM algorithm for model parameter estimation and the MPM algorithm for image segmentation, is implemented. The proposed method expands pixel-based MRF to region-based MRF and achieves optimal segmentation and parameter estimation simultaneously. Results obtained from both real RADARSET-I/II and simulated SAR intensity images indicate that the proposed method is efficient and promising.

Key words: Voronoi tessellation, EM/MPM, SAR, image segmentation

CLC number: P223 **Document code** · A

Citation format; Zhao Q H, Li Y, He X J and Song W D. 2013. Multi-look SAR image segmentation based on voronoi tessellation technique and EM/MPM algorithm. Journal of Remote Sensing, 17(4); 841-854 [DOI: 10.11834/jrs.20132239]

1 INTRODUCTION

Synthetic Aperture Radar (SAR), an advanced acquisition technology of remote sensing data acquisition, has been applied in numerous fields, such as environmental and disaster monitoring. Image segmentation is the basic and key technology for SAR image processing. To reduce the speckle noise inherent in SAR images, a multi-look SAR image is often obtained via incoherent multi-process technology. The main methods currently used in SAR image processing include region-based, edge-based, and cluster-based technology (Xu, et al., 2010). Regional and statistical-based methods are most valuable because of the statistical characteristics of image speckle noise and pixel value (Dong, et al., 2003). Regional-based method search or model-related region representative technology includes segment-fusion technology (Zhang, et al., 2010; Li, et al., 2003), regional-based Bayesian segmentation technology (Song, et al., 2010; Wong, et al., 2010), and active contour model (Wang, et al., 2010). However, such methods do not consider the correlations among pixels, among regions, and between pixels and regions, so these methods cannot fully use the statistical properties and correlation of different regions of SAR images.

With improvements in SAR image resolution, introducing the correlation hidden in adjacent pixels has become essential in modeling SAR images. Numerous models have been proposed toward this end. Among these models, Markov random field (MRF) models are commonly considered, in which MRF is used to model the joint probability distribution of pixel intensities in terms of local spatial interactions. However, MRF has the following drawbacks in modeling high-resolution remote sensing images. (1) Because MRF model-based image segmentation allows spatial interaction only between neighbor pixels, defining the global correlation among pixels is difficult, whereas a pixelbased MRF model hardly solves the geometry noise problem caused by high resolution in SAR image processing. (2) Small error segmentation areas in statistical homogeneous regions result from the speckle noise inherent in SAR images. To reduce the speckle effect, processing SAR images is necessary via regionbased rather than pixel-based methods. Thus, a class of geometry tessellation-based methods is proposed (Green, 1995; Dryden, et al., 2006). The idea behind these methods is that the image domain is first partitioned into sub-regions by a tessellation technique, and then the extent of MRF is explored to model the relationship between sub-regions instead of neighbor pixels. The work of Li and Li (2010) demonstrates the use of a geometry tessellation-based method that integrates Voronoi tessellation, Bayesian inference, and maximum a posterior (MAP) algorithms in SAR image segmentation. Although MAP criterion (Comer,

Received: 2012-08-20; Accepted: 2012-11-16; Version of record first published: 2012-11-23

Foundation: National Nature Science Foundation of China (No.41271435); The Open Research Fund of Key Laboratory of Digital Earth Science, Center for Earth Observation and Digital Earth, Chinese Academy of Science (No.2012LDE013)

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et al., 2011) produces meaningful segmentation in numerous cases, it has several disadvantages besides the computational cost. MAP-based algorithms are incomplete and require prior knowledge of estimated parameters. To solve these problems, Comer and Delp (2000) propose the Expectation Maximization/ Maximization of the Posterior Marginal (EM/MPM) algorithm to estimate parameters and segment textured images simultaneously. EM/MPM algorithm The combines the Expectation Maximization (EM) algorithm for parameter estimation with the Maximization of the Posterior Marginal (MPM) algorithm for segmentation. Following the methods proposed by Comer and Delp (2000) and Li and Li (2010), this study presents a novel multi-look SAR image segmentation method that combines Voronoi tessellation, EM/MPM technology.

2 MULTI-LOOK SAR IMAGE MODEL

A multi-look SAR image, $z = \{z_i (x_i, y_i); (x_i, y_i) \in D, i = 1, \dots, n\}$, where *i* is the index of pixels, z_i is the intensity of pixel (x_i, y_i) is the site of pixel *i*, **D** is the domain of the image, and *n* is the number of pixels of *z*, can be considered a realization of the discrete random field defined in D, $Z = \{Z_i(x_i, y_i); (x_i, y_i) \in D; i = 1, \dots, n\}$, where Z_i denotes the random variable for pixel intensity. We let Ω_Z be the space of all possible realizations of Z.

To model the multi-look SAR image, Voronoi tessellation is used to partition the domain of the image (Li & Li, 2010). With the collection of generating points, $G = \{(u_j, v_j) : (u_j, v_j) \in D;$ $j=1, \dots, m\}$, Voronoi tessellation partitions D into m Voronoi polygons, $P = \{P_j: j = 1, \dots, m\}$, where P_j is the j^{th} polygon induced by the generating point (u_j, v_j) and can be defined as $P_i = \{(x, y); d((x, y), (u_i, v_i))\} \leq 0$

 $d((x,y), (u_{j'}, v_{j'})), (u_{j}, v_{j}), (u_{j'}, v_{j'}) \in G, j \neq j \}$ (1) where d is the Euclidian distance of two points in a plan. Fig.1

illustrates a Voronoi tessellation with six generating points.



Fig.1 Voronoi tessellation with six generating points

We assume that the generating points are uniformly and independently distributed on D. Thus, their joint probability distribution function (PDF) can be expressed as

$$p(\boldsymbol{G} \mid m) = \prod_{j=1}^{m} p(u_j, v_j) = \prod_{j=1}^{m} \frac{1}{\mid \boldsymbol{D} \mid} = \mid \boldsymbol{D} \mid^{-m} \quad (2)$$

where |D| is the area of image domain D. We assume that the number of generating points m follows Poisson distribution with a mean λ , so the PDF can be written as

$$p(m) = \frac{\lambda^{m}}{m!} \exp(-\lambda)$$
(3)

We assign each polygon P_j a random label variable, $L_j \in \{1, \dots, k\}$, where k is the number of homogeneous regions and is known a prior to indicate the homogeneous region to which the polygon belongs. As a result, the set of all labels $L = \{L_j; j = 1, \dots, m\}$ forms a label field, and its realization responds to a segmentation. To model the label field, an improved Potts model is used:

$$p(\boldsymbol{L} \mid \boldsymbol{G}, m) = \frac{1}{A} \exp\left(-\sum_{|\boldsymbol{L}_{j}, \boldsymbol{L}_{j}| \in NP} \eta t(\boldsymbol{L}_{j}, \boldsymbol{L}_{j'})\right)$$
(4)

where *NP* denotes the set of neighbor polygons (any two Voronoi polygons are neighbors if and only if they have a commute boundary), *A* is a normalized constant, η is a constant for the interaction of neighbor polygons, and t(x, y) is an indicator expressed as

$$t(x,y) = \begin{cases} 0, & \text{if } x = y \\ 1, & \text{if } x \neq y \end{cases}$$
(5)

We assume that the intensities in one Voronoi polygon follow an indicial and independent gamma distribution (Lee, et al., 1994; Dong, et al., 2003), that is:

$$p(\mathbf{Z}_{i} \mid (x_{i}, y_{i}) \in \mathbf{P}_{j}, \mathbf{L}_{j} = l, \boldsymbol{\beta}_{l}) = \frac{1}{\Gamma(\alpha)} \frac{\mathbf{Z}_{i}^{\alpha-1}}{\boldsymbol{\beta}_{l}^{\alpha}} \exp\left(-\frac{\mathbf{Z}_{i}}{\boldsymbol{\beta}_{l}}\right)$$
(6)

where $\Gamma(\cdot)$ is the gamma function, and α and β_i are the scale and shape parameters, respectively. For a multi-look SAR image, α is equal to the number of its looks (Lee, et al., 1994; Dong, et al., 2003).

Eq.(6) indicates that the joint PDF of the pixels in a Voronoi polygon P_i can be expressed as

$$p(\mathbf{Z}_{j} \mid \mathbf{L}_{j} = l, \boldsymbol{\beta}_{l}) = \prod_{(\mathbf{x}_{i}, \mathbf{y}_{i}) \in \mathbf{P}_{j}} \frac{1}{\Gamma(\alpha)} \frac{\mathbf{Z}_{i}^{\alpha-1}}{\boldsymbol{\beta}_{l}^{\alpha}} \exp\left(-\frac{\mathbf{Z}_{i}}{\boldsymbol{\beta}_{l}}\right)$$
(7)

where $\mathbf{Z}_j = \{\mathbf{Z}_i; (x_i, y_i) \in \mathbf{P}_j\}$. We assume that the PDFs of all the above Voronoi polygons are independent, so the multi-look SAR image model can be expressed below.

$$p(\mathbf{Z} \mid \mathbf{L}, \mathbf{G}, \boldsymbol{\beta}, m) = \prod_{j=1}^{m} p(\mathbf{Z}_{j} \mid \mathbf{L}_{j}) =$$
$$\prod_{j=1}^{m} \prod_{(\mathbf{x}_{i}, j) \in \mathbf{P}_{j}} \frac{1}{\Gamma(\alpha)} \frac{\mathbf{Z}_{i}^{\alpha-1}}{\mathbf{\beta}_{l}^{\alpha}} \exp\left(-\frac{\mathbf{Z}_{i}}{\mathbf{\beta}_{l}}\right)$$
(8)

where $\boldsymbol{\beta} = (\boldsymbol{\beta}_1, \cdots, \boldsymbol{\beta}_k)$.

To segment a multi-look SAR image Z, deriving the joint PDF of L and G given Z is necessary. Using Bayes' rule, as well as Eq.(4) and Eq.(8), we have

$$p(\boldsymbol{L},\boldsymbol{G},\boldsymbol{m} \mid \boldsymbol{Z},\boldsymbol{\beta}) =$$

$$\frac{p(\boldsymbol{Z} \mid \boldsymbol{L},\boldsymbol{\beta})p(\boldsymbol{L} \mid \boldsymbol{G},\boldsymbol{m})p(\boldsymbol{G} \mid \boldsymbol{m})p(\boldsymbol{m})}{p(\boldsymbol{Z} \mid \boldsymbol{\beta})} =$$

$$\frac{1}{p(\boldsymbol{Z} \mid \boldsymbol{\beta})} \times |\boldsymbol{D}|^{-m} \times \frac{\boldsymbol{\lambda}^{m}}{m!} \exp(-\boldsymbol{\lambda}) \times$$

$$\prod_{j=1}^{m} \prod_{(\boldsymbol{x}_{i},\boldsymbol{y}_{j}) \in \boldsymbol{P}_{j}} \frac{1}{\Gamma(\boldsymbol{\alpha})} \frac{Z_{i}^{\alpha-1}}{\boldsymbol{\beta}_{i}^{\alpha}} \exp\left(-\frac{\boldsymbol{Z}_{i}}{\boldsymbol{\beta}_{i}}\right) \times$$

$$\frac{1}{A} \exp\left[-\sum_{j \in \boldsymbol{L} \in \boldsymbol{N}\boldsymbol{P}} \eta t(\boldsymbol{L}_{j},\boldsymbol{L}_{j'})\right] \qquad (9)$$

Because A and $p(\mathbf{Z}|\boldsymbol{\beta})$ do not depend on L and G, they are not considered in the optimization to segment the SAR image.

3 EM/MPM ALGORITHM

The EM/MPM algorithm is proposed for image segmentation

after modeling the multi-look SAR image. The proposed algorithm includes two steps: the MPM phase for segmentation and the EM phase for estimating parameter \boldsymbol{B} .

3.1 MPM multi-look SAR segmentation

In the MPM phase, we assume that β is known, and we consider the segmentation as an optimization problem. The optimization criterion is the minimization of the expected value of the number of misclassified pixels (Comer & Depth, 2000). Minimizing this expected value is equivalent to maximizing $p(L_i = l, G \mid Z = z)$ over all $l \in \{1, \dots, k\}$ for Voronoi polygon $P_i(j = 1, \dots, m)$ (Marroquin, et al., 1987). Thus, to find the MPM estimate of the label field L, determining the l for each P_i is necessary. P_i maximizes

$$p(\boldsymbol{L}_{j} = l \mid \boldsymbol{Z} = z) = p(l, \boldsymbol{G} \mid \boldsymbol{Z}, \boldsymbol{\beta}) = \sum_{l \in \boldsymbol{Q}, \boldsymbol{G} \in \boldsymbol{Q}_{l}} p(l, \boldsymbol{G} \mid \boldsymbol{Z}, \boldsymbol{\beta})$$
(10)

where $\Omega_{l,i} = \{ l; l_i = l \}$; Ω_G denotes the space of the point collection, and l is the realization of the label field L. In fact, calculating accurately the marginal probability functions as in Eq.(10) is infeasible. To obtain the MPM estimate of an MRF, Marroquin, et al. (1987) presented an algorithm to approximate marginal probability. In this study, the algorithm is also used to approximate the marginal probabilities of function Eq.(10). The approximation includes (Comer, et al., 2011) designing the random sampler and generating a discrete-time Markov chain

 $L(t) = \{L_i(t); i=1, \dots, m\}$, which is based on the PDF in Eq. (9); L(t) is the limiting distribution of the label field L. For any initial realization l(0) with a given label field.

$$\lim_{t \to \infty} (\boldsymbol{L}(t) = l, \boldsymbol{G} | \boldsymbol{Z} = \boldsymbol{z}, \boldsymbol{L}(0) = l(0)) = p(l, \boldsymbol{G} | \boldsymbol{z}, \boldsymbol{\beta})$$
(11)

As a component L_i of the label field, L can be changed each time during iterative sampling, so the following function is defined as

$$a_{l,j} \equiv \begin{cases} 1, & \text{if } L_{j}(t) = l \\ 0, & \text{if } L_{j}(t) \neq l \end{cases}$$
(12)

Thus.

$$p(\boldsymbol{L}_{j} = l, \boldsymbol{G} \mid \boldsymbol{z}, \boldsymbol{\beta}) \approx \frac{1}{T_{j}} \sum_{l=1}^{T_{j}} a_{l,j}(l), \quad \forall l, j \quad (13)$$

where T_i is the number of visits to L_i made by the random sampler. Eq.(13) shows how to obtain the MPM estimate of the label field L.

In this study, the Metropolis-Hastings (M-H) algorithm is designed for sampling (L, G). All of the following move operations are implemented during the iterative sample.

(1) Changing the label field. In the label field $L = \{L_i; j = \}$ 1,..., m, each label L_i , $j = 1, \dots, m$, is extracted with equal probability (1/m), and L_i is changed. Proposed label L_i^* is extracted with equal probability (1/k), and it satisfies the condition. Acceptance probability can be calculated as

$$a_{L}(\boldsymbol{L}_{j},\boldsymbol{L}_{j}^{*}) = \min\left\{1, \quad \frac{\prod_{(x_{i},y_{j})\in\boldsymbol{P}_{j}}\frac{1}{(\boldsymbol{\beta}_{L_{j}^{*}})^{\alpha}}\exp\left[-\frac{\boldsymbol{Z}_{i}}{\boldsymbol{\beta}_{L_{j}^{*}}}\right]\exp\left[-\sum_{\boldsymbol{P}_{j}\in\boldsymbol{NP}_{j}}\eta t(\boldsymbol{L}_{j}^{*},\boldsymbol{L}_{j}^{*})\right]}{\prod_{(x_{i},y_{j})\in\boldsymbol{P}_{j}}\frac{1}{(\boldsymbol{\beta}_{L_{j}})^{\alpha}}\exp\left[-\frac{\boldsymbol{Z}_{i}}{\boldsymbol{\beta}_{L_{j}}}\right]\exp\left[-\sum_{\boldsymbol{P}_{j}\in\boldsymbol{NP}_{j}}\eta t(\boldsymbol{L}_{j},\boldsymbol{L}_{j}^{*})\right]}\right\}$$

$$(14)$$

where NP_i is the set of the neighbor Voronoi polygons of P_i .

(2) Moving the generating points. First, a generating point (u_i, v_i) is extracted from the set of generating points $G = \{(u_i, v_i)\}$ $v_i \in D$; $j = 1, \dots, m$ with equal probability (1/m). The proposed generating point (u_i^*, v_i^*) is randomly distributed in the area of polygon P_i . The acceptance probability of (u_i^*, u_i^*) v_i^*) can be written as

$$\min\left\{1, \frac{\prod_{P_{j} \in |P_{j}^{*}, P_{N_{j}^{*}}| (x_{i}, y_{j}) \in P_{j}}{\prod_{P_{j} \in |P_{j}^{*}, P_{N_{j}^{*}}| (x_{i}, y_{j}) \in P_{j}} \frac{1}{(\boldsymbol{\beta}_{L_{j}})^{\alpha}} \exp\left[-\frac{\boldsymbol{Z}_{i}}{\boldsymbol{\beta}_{L_{j}}}\right]}{\prod_{P_{j} \in |P_{j}^{*}, P_{N_{j}^{*}}| (x_{i}, y_{j}) \in P_{j}} \frac{1}{(\boldsymbol{\beta}_{L_{j}})^{\alpha}} \exp\left[-\frac{\boldsymbol{Z}_{i}}{\boldsymbol{\beta}_{L_{j}}}\right]}\right\}$$
(15)

(3) Adding or deleting generating points. We assume that the set of generating points is $G = \{ (u_1, v_1), \dots, (u_i, v_i) \}, \dots$ (u_m, v_m) . To add generating points, a Voronoi polygon P_i is chosen, and a proposed generating point is extracted in this polygon with label L_{m+1} , namely, (u_{m+1}^*, v_{m+1}^*) . Correspondingly, the set of generating points is $G^* = \{(u_1, v_1), \dots, (u_i, v_i)\}$... (u_m, v_m) , (u_{m+1}^*, v_{m+1}^*) . Eq. (1) states that a new Voronoi tessellation is formed, $P^* = \{P_1^*, \dots, P_m^*, P_{m+1}^*\}$. Because $(u_{m+1}^{*}, v_{m+1}^{*})$ is located in P_{j} , the newly generated polygon P_{m+1}^{*} only changes the polygon P_{j} and its neighborhood polygons. The label L_{m+1}^{*} of the polygon P_{m+1}^{*} is extracted with equal probability (1/k) in the $\{1, \dots, k\}$. Thus, the proposed label field is $L^* = \{L_1^*, \dots, L_j^*, \dots, L_m^*\}$ L_{m+1}^* , whereas the label $\{P_1^*, \dots, P_m^*\}$ remains unchanged.

The operation of adding the generating points is accepted by the following probability: $a_{G_{+}}(G,G^{*}) = \min\{1, R\}$

(16)

where

$$R = \frac{\prod_{j=1}^{m+1} \prod_{(x_{i},y_{j}) \in \boldsymbol{P}_{j}^{*}} \frac{1}{(\boldsymbol{\beta}_{L_{j}^{*}})^{\alpha}} \exp[-\boldsymbol{Z}_{i}/\boldsymbol{\beta}_{L_{j}^{*}}]}{\prod_{j=1}^{m} \prod_{(x_{i},y_{j}) \in \boldsymbol{P}_{j}} \frac{1}{(\boldsymbol{\beta}_{L_{j}})^{\alpha}} \exp[-\boldsymbol{Z}_{i}/\boldsymbol{\beta}_{L_{j}}]} \times \prod_{j=1}^{m+1} \exp\left(\sum_{\boldsymbol{P}_{j}^{*} \in \boldsymbol{ePN}_{j}^{*}} \eta t(\boldsymbol{L}_{j}^{*}, \boldsymbol{L}_{j'}^{*})\right) / \sum_{l=1}^{k} \exp\left(\sum_{\boldsymbol{P}_{j}^{*} \in \boldsymbol{ePN}_{j}^{*}} \eta t(l, \boldsymbol{L}_{j'}^{*})\right)$$

$$(17)$$

The operation of deleting the generating points is a dual operation of adding generating points, so its acceptable probability can be expressed as

$$a_{G^{-}}(G,G^{*}) = \min\{1,1/R\}$$
 (18)

3.2 EM algorithm for parameter estimation

To implement the M-H sample, parameter β needs to be estimated. In this study, the EM algorithm is used to estimate the value of $\boldsymbol{\beta}$ (Masuda, et al., 2011; Xia, et al., 2011; Xu, et al., 2011). An iterative procedure is conducted in the EM algorithm to approximate the maximum-likelihood estimates. Two steps are performed in each iteration: expectation and maximization. We let $\boldsymbol{\beta}(\tau)$ be the estimate of parameter $\boldsymbol{\beta}$ at iteration τ , so the

estimate value of $\boldsymbol{\beta}$ at the iteration can be expressed as

$$Q(\boldsymbol{\beta},\boldsymbol{\beta}(\tau-1)) = E[\log (\boldsymbol{Z} \mid \boldsymbol{L},\boldsymbol{\beta}) \mid \boldsymbol{Z} = \boldsymbol{z},\boldsymbol{\beta}(\tau-1)] + E[\log (\boldsymbol{L} \mid \boldsymbol{\beta}) \mid \boldsymbol{Z} = \boldsymbol{z},\boldsymbol{\beta}(\tau-1)]$$
(19)

Because the PDF of the label field L does not depend on β . the second term of Eq.(19) is ignored. $\boldsymbol{\beta}(\tau)$ is obtained as the value that maximizes $Q(\beta, \beta(\tau-1))$, and $\beta(\tau)$ satisfies

$$Q(\boldsymbol{\beta}(\tau),\boldsymbol{\beta}(\tau-1)) \ge Q(\boldsymbol{\beta},\boldsymbol{\beta}(\tau-1)) \quad \forall \boldsymbol{\beta} \in \boldsymbol{\Omega}_{\boldsymbol{\beta}}$$
(20)

where Ω_{β} is the space of all possible values of β . After substituting Eq.(8) into Eq.(19), differentiating, and setting to zero, the result of $\boldsymbol{\beta}(\tau) = (\boldsymbol{\beta}_1(\tau), \cdots, \boldsymbol{\beta}_k(\tau))$ is as.

$$\boldsymbol{\beta}_{l}(\tau) = \frac{1}{\alpha N_{l}(\tau)} \sum_{\boldsymbol{P}_{j} \in \boldsymbol{P}_{i}} p(\boldsymbol{L}_{j} = l \mid \boldsymbol{Z}, \boldsymbol{\beta}(\tau - 1)) \sum_{(\boldsymbol{x}_{i}, \boldsymbol{y}_{i}) \in \boldsymbol{P}_{j}} \boldsymbol{Z}_{i} \quad (21)$$

where
$$P_l = \{P_j; L_j = l\}, N_l(\tau) = \sum_{P_j \in P_l} N_j p(L_j = l \mid Z,$$

 $\boldsymbol{\beta}(\tau - 1)$), $N_i = \# \mathbf{Z}_i$. The exact computation of $p(\mathbf{L}_i = l + \mathbf{Z}_i)$ β) is infeasible. Thus, Eq.(13) is used in this study to obtain the estimate of $p(L_i = l \mid Z, \beta)$ and to compute Eq.(21) to obtain the estimate of parameter $\boldsymbol{\beta}$.

3.3 EM/MPM algorithm for segmentation

The EM/MPM algorithm uses the EM algorithm and MPM algorithm, which combine the MPM algorithm for segmentation and the EM algorithm for parameter estimation. The procedures of the EM/MPM algorithm are described as below

(1) Parameter $\boldsymbol{\beta}$, noted as $\boldsymbol{\beta}(0)$, is initialized.

(2) Parameter $\beta(\tau - 1)$ is used to implement the MPM algorithm for T_p times ($T_p = 500$ in this study) to obtain the MPM estimate of the label field L by Eq.(13).

(3) Step (2) is used to obtain the MPM estimate of the label field L, and this estimate is substituted into Eq.(21) to obtain $\boldsymbol{\beta}(\tau)$ of the $\boldsymbol{\beta}$ estimate.

(4) The step involving Eq.(2) is repeated until the expected total number of iterations is reached.

EXPERIMENTAL RESULTS AND DISCUSSION 4

To validate the feasibility and effectiveness of the proposed algorithm, synthetic and real SAR intensity images are used. First, a synthetic SAR intensity image with four looks, five homogeneous regions, and 128×128 pixels resolution is created. Fig.2(a) presents the template of homogeneous regions, in which the numbers 1-5 indicate the different homogeneous regions. Table 1 lists the parameters of gamma distribution for the corresponding homogenous regions. Fig. 2 (b) shows the created synthetic SAR intensity image.



Table 1 Gamma distribution parameters for the different homogeneous regions

Demonstern		He	omogeneous 1	regions	
Parameters	1	2	3	4	5
α	4	4	4	4 4	4
β	10	35	20	25	15
		alla	TH	2 11-	e

Fig.3(a) and Fig.3(b) illustrate the final Voronoi tessellation and optimal segmentation results after 500 iterations for MPM and 100 iterations for EM estimation. The method presented in this study is essentially an extension of the pixel-based MRF proposed by Cao, et al. (2005) and Comer and Delp (2000). For comparison, Fig.3(c) shows the segmentation results of the pixelbased MRF algorithm. Comparing the two segmentation results shown in Fig. 3 (b) and Fig. 3 (c) indicates that the method presented in this study can segment the five homogeneous regions well, whereas the pixel-based MRF almost fails to distinguish the regions.



Table 2 lists the estimated values of the gamma distribution parameters and their percentage errors. The figures indicate that the proposed algorithm can accurately estimate the model parameters.

Table 2	Estimated scale parameters of the	2
homog	eneous regions and their errors	

	Demonstration	Homogeneous regions					
	r arameters	1	2	3	4	5	
Proposed	$\boldsymbol{\beta}_{e}$	10.05	33.64	20.04	24.62	15.12	
method	$e_{m eta}/\%$	0.47	1.37	0.04	0.38	0.12	
MDE	$\boldsymbol{\beta}_{e}$	11.27	35.37	16.18	24.38	12.50	
MKF	$e_{\beta}/\%$	1.27	0.37	3.72	0.62	2.50	

To visually illustrate the accuracy of the segmented results, the outlines of the segmented homogeneous regions are delineated and overlaid on the synthetic SAR image. Fig.4 shows that the delineated outlines match well the boundaries of the homogeneous regions.



Fig.5 reports the changes in the scale parameters during 500 iterations and indicates that the stable values of the estimated parameters finally converge.



Statistical measures, including the producer's accuracy, user's accuracy, overall accuracy, and kappa coefficient, are used to measure segmentation accuracy. Table 3 provides the results of the proposed method and the pixel-based MRF method. Table 3 indicates that the Kappa coefficient for the segmented result is up to 0.99. General interpretation rules to assess thematic accuracy indicate that a Kappa coefficient from 0.81 to 1.00 is almost perfect (Congalton & Green, 2008).

 Table 3
 User's accuracy, producer's accuracy, overall accuracy, and Kappa coefficient

Malal		Homogeneous regions					
Method	Measure/%	1	2	3	4	5	
	User's accuracy	99.61	99.06	99.63	99.12	99.22	
Proposed	Producer's accuracy	99.94	99.20	99.42	99.84	98.41	
method	Overall accuracy			99.34			
	Kappa			0.99			
	User's accuracy	51.01	75.93	65.37	51.48	36.86	
Pixel-based	Producer's accuracy	26.42	79.98	65.35	56.15	40.26	
method	Overall accuracy			44.59			
	Kappa			0.31			

In this study, the number of polygons in Voronoi tessellation m is set as a random variable. To test the effect of initial m_0 on the final segmentation, the segmentation results from $m_0 = 48, 64, 80, 96$, and 112 are compared. Fig.6 presents the changes in m during 500 iterations with different m_0 . The final numbers of m after 500 iterations are 29, 30, 36, 35, and 33. In other words, the proposed method attempts to fit all the homogeneous regions with the polygons as less as possible.

Real SAR intensity images are also used for validation. Fig.7 shows three RADARSAT- I / II SAR intensity images with number of looks 2, 3, 4 and with homogeneous regions 4, 3, 4.

Fig.8 (a) to Fig.8 (c) provide the optimal segmentations, Fig.8(d) to Fig.8 (f) show the extracted boundaries of the segmented homogeneous regions, and Fig.8(g) to Fig.8(i) and Fig.8 (j) to Fig.8(l) illustrate the overlaying of the extracted boundaries on Voronoi tessellations and testing images. All of these images show that the proposed method can segment real SAR intensity images well.



Fig.6 Change in m during 500 iterations



Fig.7 Real SAR intensity images for testing



Fig.8 Visual assessment

5 CONCLUSION

This study presents a new segmentation method based on Voronoi tessellation technique and the EM/MPM algorithm. The proposed method is evaluated with real RADATSAT-II and synthetic SAR images. The experimental results indicate the efficiency of the proposed segmentation method.

Future research will focus on issues such as developing an algorithm to increase fitting accuracy on the boundaries of segmented regions, comparing the effects of different tessellation schemes (e.g., regular, Poisson, and leave tessellation on segmentation results), and considering the scale and shape parameters of gamma distribution as random variables to improve the accuracy of estimate parameters.

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IOURNAL OF 接触 NOTE 孝 择 RENSING 学择

→ 小 水 分和 EM/N 多视 SAR 图像分割 基于 Voronoi 几何划分和 EM/MPM 算法的

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摘 要:基于区域和统计的 SAR 分割方法,提出一种结合 Voronoi 划分技术、最大期望值 EM (Expectation Maximization)和最大边缘概率 MPM (Maximization of the Posterior Marginal)算法的多视 SAR 图像分割方法。首先利用 Voronoi 划分将图像域划分成不同的子区域,而每个子区域可以被看成待分割同质区域的一个组成部分,并假设每 个子区域内的像素满足同一独立的 Gamma 分布,从而建立多视 SAR 图像模型,并在贝叶斯理论架构下建立图像分 割模型,然后结合 EM/MPM 算法进行图像分割和模型参数估计。该方法将基于像元的马尔可夫随机场(Markov Random Field, MRF)模型扩展到基于区域的 MRF 模型,并且能同时有效地获取模型参数估计和基于区域的 SAR 图 像最优分割。采用本文算法,分别对 RADARSAT- I / II SAR 强度图像和合成 SAR 强度图像进行了分割实验,定性 和定量的测试结果验证了本文方法的有效性、可靠性和准确性。

关键词: Voronoi 几何划分, EM/MPM 算法, SAR, 图像分割

中图分类号:P223 文献标志码:A

引用格式:赵泉华,李玉,何晓军,宋伟东. 2013. 基于 Voronoi 几何划分和 EM/MPM 算法的多视 SAR 图像分割. 遥感学报, 17(4):841-854

Zhao Q H, Li Y, He X J and Song W D. 2013. Multi-look SAR image segmentation based on voronoi tessellation technique and EM/MPM algorithm. Journal of Remote Sensing, 17(4): 841-854 [DOI: 10.11834/jrs.20132239]

1 引 言

合成孔径雷达 SAR 是一种先进的遥感信息获 取手段,已经在农作物、植被提取和海冰、灾害监测 等许多领域得到了广泛应用。图像分割是 SAR 图 像处理中最为基本和关键的技术。为了消除 SAR 图像所固有的斑点噪声,在 SAR 图像获取过程中往 往进行非相干多视处理,从而获得多视 SAR 图像。 目前提出了众多的多视 SAR 图像分割算法,主要包 括:基于区域的、边界的和聚类的分割方法等(许新 征 等,2010)。由于 SAR 图像中固有的斑点噪声及 地物目标内像素强度具有统计分布特性,因此,基 于区域和统计的方法被认为是最为有效的分割方 法(Dong 等, 2003)。基于区域的 SAR 图像分割方法 直接搜索或建模图像上的相关区域,典型的技术手

段包括:分割-融合技术(张林和朱兆达,2010;郦苏 丹等,2003)、基于区域的贝叶斯分割(宋晓峰等, 2010; Wong 等, 2010)、主动轮廓模型(王晓亮和李春 升,2010)。但以上基于区域的模型没有同时顾及邻 域像素间、区域内像素间及区域与区域之间相互关 系,即没有充分利用 SAR 图像统计分布特性以及邻 近区域的相关性。

随着空间分辨率的增加,在 SAR 图像分割中引 入像素强度的空间相关性可以显著提高算法的准 确性和可靠性,其中最具代表性的统计模型就是 MRF 模型。但传统 MRF 模型在高分辨率 SAR 图 像分割中存在以下问题:(1)MRF 模型的定义是基于 邻域像素间的相关性,难以定义全局约束,而且基 于像素的处理方法很难解决由高分辨率引起的 SAR 图像中的几何噪声;(2)由于 SAR 图像所特有的

收稿日期:2012-08-20:修订日期:2012-11-16:优先数字出版日期:2012-11-23

基金项目:国家自然科学基金项目(编号:41271435);中国科学院数字地球重点实验室开放基金项目(编号:2012LDE013)

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斑点噪声,误分割像素在统计同质区域内呈现微小的误分区域,从而降低了图像分割精度。为了在图像建模过程中真正引入基于区域的思想,需要预先对图像域进行几何划分,然后建立基于区域的 MRF模型,其中最常用的是 Voronoi 划分(Green,1995; Dryden 等,2006),但在以上文献中基于 Voronoi 划分的 MRF模型仅用于一般图像的分割,并未应用到 SAR 图像分割中。为了充分考虑 SAR 图像的统计分布特性,Li 和 Li(2010)提出了基于几何划分的 SAR 图像统计分割方法,该方法采用最大后验概率 MAP(Maximum A Posterior)算法来获取模型参数估 计和图像最优分割。

统计图像分割算法涉及的主要问题包括:图像的统计模型、模型参数估计以及获取最佳分割的优化准则。MAP算法通常用于获取模型参数估计和 图像最优分割(Comer等, 2011)。但在大多数情况 下,MAP算法在计算上是难以实现的,并且MAP算 法需要给出模型参数的先验分布规律。为了解决 上述 MAP算法存在的问题,Comer和Delp(2000)提 出了 EM/MPM (Expectation Maximization/Maximization of the Posterior Marginal)算法,建立了基于像素 的 MRF 模型,并且采用 EM/MPM 实现了参数的估 计和纹理图像的分割。EM/MPM 算法的目的在于 最小化误分像素数的期望值,等价于最大化类别标 号的边缘概率。EM/MPM 算法同时利用 EM 实现 模型参数估计以及利用 MPM 算法得到最优分割。

为了实现在模型参数先验分布知识未知情况 下进行基于区域的统计 SAR 图像最优分割,同时获 取更精确的模型参数估计结果,本文将基于 Voronoi 的划分技术和 EM/MPM 算法结合起来进行 SAR 图 像分割。首先利用 Voronoi 划分建立基于区域的图 像分割模型。在该模型中,图像域被划分成不同的 子区域,而每个子区域可以被看成待分割同质区域 的一个组成部分,并假设每个子区域内的像素满足 同一独立的 Gamma 分布,利用上述多视 SAR 图像 模型,在贝叶斯理论 (Comer 等, 2011)架构下建模图 像分割问题,然后结合 EM/MPM 算法进行参数估计 和图像分割,该方法将基于像素的 MRF 模型扩展 到基于区域的 MRF,并且能同时有效地获取模型参 数估计和基于区域的 SAR 图像最优分割。

2 多视 SAR 图像模型

多视 SAR 图像 $z = \{z_i(x_i, y_i); (x_i, y_i) \in \boldsymbol{D}, i=1, \}$

..., n (其中, *i* 为像素索引, *z_i* 为像素 *i* 的强度, (*x_i*, *y_i*)为第*i* 个像素点的位置, *D* 为图像域, *n* 为总 像素数)可以看成定义在 *D* 上离散随机场 *Z* = {*Z_i*(*x_i*, *y_i*);(*x_i*, *y_i*) \in *D*;*i*=1,...,*n*}(其中, *Z_i*) 为表征 第*i* 个像素强度的随机变量)的一个实现。设 *Z* 所 有可能的实现构成的空间记为 Ω_{Z} 。

为了建模多视 SAR 图像,首先利用 Voronoi 划 分技术将图像域 D 划分为子区域 (Li 和 Li, 2010)。 对给定生成点集, $G = \{(u_j, v_j) : (u_j, v_j) \in D; j = 1, \dots, m\}$, Voronoi 划分将 D 划分为 m 个子区域 (称为 Voronoi 多边形), $P = \{P_j : j = 1, \dots, m\}$, 其中, P_j 由生 成点 (u_j, v_j) 构造而成, 即 P_j 中的任意一点(x, y)与生 成点 (u_j, v_j) 间的距离小于距生成点集中其他生成点 的距离:

$$P_{j} = \{ (x, y); d((x, y), (u_{j}, v_{j})) \leq d((x, y), (u_{j'}, v_{j'})), (u_{j}, v_{j}), (u_{j'}, v_{j'}) \in G, j \neq j \}$$
(1)

式中,*d* 为平面上两点间的欧几里德距离。图 1 所 示为由 6 个随机分布在正方形图像域上的生成点 (实心点)构造而成的 Voronoi 划分图,图中,正方形 内部的实线为 Voronoi 多边形的边界线,而虚线则 为近邻生成点间的连线,而空心圆为像素格点。可 以看出 Voronoi 多边形的边界线为相应的生成点间 连线线段的垂直中分线。



图 1 由 6 个生成点构造的 Voronoi 划分

假设生成点均匀分布于图像域 **D** 中,并且各生 成点的分布相互独立。因此,生成点集的先验概率 密度函数为:

$$p(\boldsymbol{G} \mid m) = \prod_{j=1}^{m} p(u_j, v_j) = \prod_{j=1}^{m} \frac{1}{\mid \boldsymbol{D} \mid} = \mid \boldsymbol{D} \mid^{-m}$$
(2)

式中, |D| 为图像域 D 的面积。对于 Voronoi 划分 的生成点数 m, 假设其满足均值为 λ 的泊松分布, 因此其概率密度函数为:

$$p(m) = \frac{\lambda^{m}}{m!} \exp(-\lambda)$$
 (3)

为每个 Voronoi 多边形 P_j 分配一个标号变量 $L_j \in \{1, \dots, k\}$,以表征其隶属的目标类,其中 k 为 待分割图像的目标类总数,本文中设该数值为已 知,而每个目标类的几何区域则由一组 Voronoi 多 边形拟合而成。显然,对所有 Voronoi 多边形的标 号集合 $L = \{L_j; j = 1, \dots, m\}$ 形成了一个随机标号 场,而该随机场的每个实现对应于多视 SAR 图像 **Z** 的一种分割,记标号场所有可能的实现构成的空间 记为 Ω_L 。为了表达邻域 Voronoi 多边形标号的相 关性,本文将定义在规则格点上的 MRF 模型扩展 到 Voronoi 划分图上,即假设标号场 L 的概率密度 函数具有如下形式:

$$p(\boldsymbol{L} \mid \boldsymbol{G}, \boldsymbol{m}) = \frac{1}{A} \exp\left(-\sum_{|\boldsymbol{L}_{j}, \boldsymbol{L}_{j}| \in \boldsymbol{NP}} \boldsymbol{\eta} t(\boldsymbol{L}_{j}, \boldsymbol{L}_{j'})\right) \quad (4)$$

式中, NP 为给定 Voronoi 划分图中所有邻域 Voronoi 多边形对的集合,任意两个 Voronoi 多边形 互为邻域当且仅当它们具有共同的边界;A 为归一 化常数,由对式(4)分子项所有可能的标号值求和得 到;η 为邻域 Voronoi 多边形的空间作用参数,在本 文方法中假设该参数为已知;t(x,y)为指示函数,

$$t(x,y) = \begin{cases} 0, & \ddot{x} = y \\ 1, & \ddot{x} = y \\ 1, & \ddot{x} \neq y \end{cases}$$
(5)

由以上讨论可以看出,图像域 D 上的 Voronoi 划分 P (或生成点集 G)以及定义在 P 上的标号场 L完备地刻画了图像 Z 的分割。进一步假设,同一个 Voronoi 多边形 P_j 中具有标号 $L_j = l$ 的随机变量 Z_i 服从同一独立的 Gamma 分布 (Lee 等, 1994; Dong 等, 2003),其概率密度函数可表示为:

$$p(\mathbf{Z}_{i} \mid (x_{i}, y_{i}) \in \mathbf{P}_{j}, \mathbf{L}_{j} = l, \mathbf{\beta}_{l}) = \frac{1}{\Gamma(\alpha)} \frac{\mathbf{Z}_{i}^{\alpha-1}}{\mathbf{\beta}_{l}^{\alpha}} \exp\left(-\frac{\mathbf{Z}_{i}}{\mathbf{\beta}_{l}}\right)$$
(6)

式中, $\Gamma(\cdot)$ 为 Gamma 函数, β_l 为 Gamma 分布的 尺度参数, α 为 Gamma 分布的形状参数。对多视 SAR 图像, α 等于其视数(Lee 等, 1994; Dong 等, 2003)。

根据式(6), Voronoi 多边形 P_j 中所有像素强度 变量的联合概率密度函数为:

$$p(\mathbf{Z}_{j} \mid \mathbf{L}_{j} = l, \boldsymbol{\beta}_{l}) = \prod_{(x_{i}, y_{i}) \in P_{j}} \frac{1}{\Gamma(\alpha)} \frac{\mathbf{Z}_{i}^{\alpha-1}}{\boldsymbol{\beta}_{l}^{\alpha}} \exp\left(-\frac{\mathbf{Z}_{i}}{\boldsymbol{\beta}_{l}}\right)$$
(7)

式中, $Z_j = \{Z_i; (x_i, y_i) \in P_j\}$ 。假设对所有的 Voronoi 多边形上述联合概率分布亦为相互独立,则 多视 SAR 图像模型可以表达如下:

$$p(\mathbf{Z} \mid \mathbf{L}, \mathbf{G}, \boldsymbol{\beta}, m) = \prod_{j=1}^{m} p(\mathbf{Z}_{j} \mid \mathbf{L}_{j}) =$$
$$\prod_{j=1}^{m} \prod_{(x,y_{i}) \in \mathbf{P}_{j}} \frac{1}{\Gamma(\alpha)} \frac{\mathbf{Z}_{i}^{\alpha-1}}{\boldsymbol{\beta}_{l}^{\alpha}} \exp\left(-\frac{\mathbf{Z}_{i}}{\boldsymbol{\beta}_{l}}\right)$$
(8)
$$\mathbb{R} \oplus (\boldsymbol{\beta}_{1}, \cdots, \boldsymbol{\beta}_{k})_{\circ}$$

为了实现图像分割,需要得到在已知图像 Z 和 参数β条件下标号场L的条件概率密度函数。根 据贝叶斯定理,上述条件概率密度函数为:

$$p(\boldsymbol{L},\boldsymbol{G},\boldsymbol{m} \mid \boldsymbol{Z},\boldsymbol{\beta}) =$$

$$\frac{p(\boldsymbol{Z} \mid \boldsymbol{L},\boldsymbol{\beta})p(\boldsymbol{L} \mid \boldsymbol{G},\boldsymbol{m})p(\boldsymbol{G} \mid \boldsymbol{m})p(\boldsymbol{m})}{p(\boldsymbol{Z} \mid \boldsymbol{\beta})} =$$

$$\frac{1}{p(\boldsymbol{Z} \mid \boldsymbol{\beta})} \times |\boldsymbol{D}|^{-m} \times \frac{\lambda^{m}}{m!} \exp(-\lambda) \times$$

$$\prod_{j=1}^{m} \prod_{(\boldsymbol{x}_{i},\boldsymbol{y}_{i}) \in \boldsymbol{P}_{j}} \frac{1}{\Gamma(\alpha)} \frac{Z_{i}^{\alpha^{-1}}}{\boldsymbol{\beta}_{l}^{\alpha}} \exp\left(-\frac{\boldsymbol{Z}_{i}}{\boldsymbol{\beta}_{l}}\right) \times$$

$$\frac{1}{A} \exp\left[-\sum_{|\boldsymbol{L}_{j},\boldsymbol{L}_{j}| \in \boldsymbol{N}\boldsymbol{P}} \eta t(\boldsymbol{L}_{j},\boldsymbol{L}_{j'})\right] \qquad (9)$$

由于式中 A 和 p(Z | β) 与标号场 L 无关,因此,求取 多视 SAR 图像分割优化解时忽略这两项。

3 EM/MPM 算法

图像模型建立完成后,采用 EM/MPM 算法进行 图像分割。本文提出的 EM/MPM 多视 SAR 图像分 割方法由两个基本算法组成:(1)MPM 算法用于图 像分割;(2)EM 算法用于 Gamma 分布参数 β 的 估计。

3.1 MPM 多视 SAR 图像分割算法

MPM 分割算法假定参数集 *β* 是已知的,它的 基本思想在于将图像分割问题表达为优化问题,其 中的优化准则为最小化误分割像素数的期望值 (Comer 和 Delp, 2000)。最小化该期望值等价于对 所有标号 $l \in \{1, ..., k\}$ 以及所有 Voronoi 多边形 $P_j(j=1, ..., m)$ 使得 $p(L_j = l, G \mid Z = z)$ 最大 (Marroquin 等, 1987)。为了实现标号场 *L* 的 MPM 估计,需要对每一个 P_j 找到一个 *l* 值,使得如下边 缘概率最大,

$$p(\boldsymbol{L}_{j} = l \mid \boldsymbol{Z} = z) = p(l, \boldsymbol{G} \mid \boldsymbol{Z}, \boldsymbol{\beta}) = \sum_{l \in \Omega_{ij}, \boldsymbol{G} \in \boldsymbol{\mathcal{Q}}_{ij}} p(l, \boldsymbol{G} \mid \boldsymbol{Z}, \boldsymbol{\beta})$$
(10)

式中, $\Omega_{l,j}$ ={l; l_j =l}; Ω_G 为生成点集的值空间;l为标号场L的实现。实际上,精确计算式(10)的边缘概率是难以实现的。为了计算 MRF 的 MPM 估计,

则有,

Marroquin 等人(1987)提出了边缘概率近似估计算 法,本文采用该算法近似估计式(10)的边缘概率。 其基本过程包括(Comer 等, 2011):设计随机采样 器,并依式(9)的概率密度函数生成离散时间马尔 可夫链 $L(t) = \{L_i(t); j=1, \dots, m\}, m L(t)$ 收敛于 标号场 L, 即对任意给定标号场的初始实现 l(0), M, N

$$\lim_{t \to \infty} p(\boldsymbol{L}(t) = l, \boldsymbol{G} \mid \boldsymbol{Z} = \boldsymbol{z}, \boldsymbol{L}(0) = l(0) = p(l, \boldsymbol{G} \mid \boldsymbol{z}, \boldsymbol{\beta})$$
(11)

由于迭代采样中任意采样时刻只能改变标号 场的某一个分量 L_i,由此定义:

式中, NP_i 为 P_i 的邻域 Voronoi 多边形集合。

(2) 位移生成点。对当前生成点集合 G = $\{(u_i, v_i) \in D; j=1, \cdots, m\},$ 以等概率(1/m)在 $\{1, \dots, m\}$ …, m 抽取任意值(如 j),本次迭代改变(u_i , v_i)而其 他生成点保持不变。候选生成点 (u_i^*, v_i^*) 在当前 多边形 P; 中均匀抽取。位移某一生成点仅改变与 该生成点相应的多边形及其邻域多边形。改变 (*u_i*, *v_i*)的接受概率为:

$$\min \left\{ 1, \quad \frac{\prod_{P_{j'} \in |P_{j'}^*, PN_{j}^*| (x_i, y_i) \in P_{j'}} \prod_{(\boldsymbol{\beta}_{L_{j'}})^{\alpha}} \exp\left[-\frac{\boldsymbol{Z}_i}{\boldsymbol{\beta}_{L_{j'}}}\right]}{\prod_{P_{j'} \in |P_{j'}, PN_{j}| (x_i, y_i) \in P_{j'}} \frac{1}{(\boldsymbol{\beta}_{L_{j'}})^{\alpha}} \exp\left[-\frac{\boldsymbol{Z}_i}{\boldsymbol{\beta}_{L_{j'}}}\right]} \right\}$$

$$(15)$$

(3)增加或删除生成点。设当前生成点集合为 G = { (u_1 , v_1), ..., (u_i , v_i), ... (u_m , v_m) } ∘ 対于 增加生成点操作,首先在图像域 D 中均匀抽取候选 生成点并标记为 m+1, 即(u_{m+1}^* , v_{m+1}^*)。候选生 成点集合可表示为 $G^* = \{(u_1, v_1), \dots, (u_i, v_i),$ … (u_m, v_m) , (u_{m+1}^*, v_{m+1}^*) 。依据式(1), G^* 构 造候选 Voronoi 划分 $P^* = \{P_1^*, \dots, P_m^*, P_{m+1}^*\}$ 。 如果 $(u_{m+1}^{*}, v_{m+1}^{*})$ 位于 P_{j} 中,则 $(u_{m+1}^{*}, v_{m+1}^{*})$ 生 成新多边形 P_{m+1} *并仅仅改变 P_i 及其邻域多边形。 以等概率(1/k)在{1, ···, k}抽取随机数作为多边 形 P_{m+1}^{*} 的标号 L_{m+1}^{*} 。由此,候选标号场为 L^{*} = $\{L_1^*, \dots, L_i^*, \dots, L_m^*, L_{m+1}^*\},$ 其中对应于 .)

 $p(\boldsymbol{L}_{j} = l, \boldsymbol{G} \mid \boldsymbol{z}, \boldsymbol{\beta}) \approx \frac{1}{T_{i}} \sum_{t=1}^{T_{j}} a_{l,j}(t), \quad \forall l, j \quad (13)$

本文采用 M-H (Metropolis-Hastings)算法设计随

(1)改变标号场。对当前标号场 L= {L; j=1,

式中, T_i 为随机采样器访问 L_i 的总次数。式(13)即

机采样器。为了完备地采样(L,G),设计了如下移动

…, m } 以等概率(1/m)在{1,…,m} 抽取任意值

 $(如_j)$,本次迭代改变 L_i 而其他标号保持不变。候选

操作。对每次迭代采样需要遍历所有的移动操作。

为标号场 L 的 MPM 估计。

 $\{P_1^*, \dots, P_m^*\}$ 的标号 $\{L_1^*, \dots, L_m^*\}$ 保持当前 标号不变。增加生成点操作以如下概率被接受:

$$a_{G_{+}}(G,G^{*}) = \min\{1,R\}$$
(16)
式中,

$$R = \frac{\prod_{j=1}^{m+1} \prod_{(x_i,y_i) \in P_j^*} \frac{1}{(\boldsymbol{\beta}_{L_j^*})^{\alpha}} \exp\left[-\boldsymbol{Z}_i / \boldsymbol{\beta}_{L_j^*}\right]}{\prod_{j=1}^{m} \prod_{(x_i,y_i) \in P_j} \frac{1}{(\boldsymbol{\beta}_{L_j})^{\alpha}} \exp\left[-\boldsymbol{Z}_i / \boldsymbol{\beta}_{L_j}\right]} \times \prod_{j=1}^{m+1} \exp\left(\sum_{P_j^* \in PN_j^*} \eta t(\boldsymbol{L}_j^*, \boldsymbol{L}_{j^*}^*)\right) / \sum_{l=1}^{k} \exp\left(\sum_{P_j^* \in PN_j^*} \eta t(l, \boldsymbol{L}_{j^*}^*)\right)$$

$$\prod_{j=1}^{m} \exp\left(\sum_{P_j^* \in PN_j^*} \eta t(\boldsymbol{L}_j, \boldsymbol{L}_{j^*})\right) / \sum_{l=1}^{k} \exp\left(\sum_{P_j^* \in PN_j^*} \eta t(l, \boldsymbol{L}_{j^*})\right)$$
(17)

删除生成点操作是增加生成点操作的对偶操 作,因此其接收概率为:

$$a_{G_{-}}(G, G^*) = \min\{1, 1/R\}$$
 (18)

3.2 EM 参数估计算法

为了实现上述 M-H 采样, 必须对参数 B 进行估 计。本文采用 EM 算法 (Masuda 等, 2011; 夏怀英 和郭平, 2011; 徐振华 等, 2011) 估计该参数。EM 算法通过迭代过程近似得到最大或然率 ML(Maximum-likelihood)估计值。每次迭代分两步完成 ML 估计:计算期望值和最大化期望值。如果设 $\beta(\tau)$ 为 在第 τ 次迭代时的参数 β 估计值,则该次迭代中 β 的期望值计算如下,

$$Q(\boldsymbol{\beta},\boldsymbol{\beta}(\tau-1)) =$$

 $E\left[\log p(\mathbf{Z} \mid \mathbf{L}, \boldsymbol{\beta}) \mid \mathbf{Z} = \boldsymbol{z}, \boldsymbol{\beta}(\tau - 1)\right] +$ $E\left[\log p(\boldsymbol{L} \mid \boldsymbol{\beta}) \mid \boldsymbol{Z} = z, \boldsymbol{\beta}(\tau - 1)\right] \quad (19)$

由于标号场 L 的概率密度函数与 β 无关,由此忽略 式(19)等式右边的第二项。 $\boldsymbol{\beta}(\tau)$ 则是通过最大化 $Q(\boldsymbol{\beta}, \boldsymbol{\beta}(\tau-1))$ 得到的,即 $\boldsymbol{\beta}(\tau)$ 满足,

 $Q(\boldsymbol{\beta}(\tau),\boldsymbol{\beta}(\tau-1)) \ge Q(\boldsymbol{\beta},\boldsymbol{\beta}(\tau-1)) \quad \forall \boldsymbol{\beta} \in \boldsymbol{\Omega}_{\boldsymbol{\beta}}$ (20)

式中, Ω_{β} 为 β 的取值空间。将式(8)代入式(19),对 其求导并设该导数为零,则可解 $\boldsymbol{\beta}(\tau) = (\boldsymbol{\beta}_1(\tau),$ $\cdots, \boldsymbol{\beta}_{\iota}(\tau)$)得,

$$\boldsymbol{\beta}_{l}(\tau) = \frac{1}{\alpha N_{l}(\tau)} \sum_{\boldsymbol{P}_{j} \in \boldsymbol{P}_{i}} p(\boldsymbol{L}_{j} = l \mid \boldsymbol{Z}, \boldsymbol{\beta}(\tau-1)) \sum_{(\boldsymbol{x}_{i}, \boldsymbol{y}_{i}) \in \boldsymbol{P}_{j}} \boldsymbol{Z}_{i}$$
(21)

式中, $P_l = \{P_j; L_j = l\}$, $N_l(\tau) = \sum_{p \in P_i} N_j p(L_j = l | Z,$ $\boldsymbol{\beta}(\tau-1)$), N_i = # \mathbf{Z}_i 。从计算角度看,得到概率密度 $p(L_i = l \mid \mathbf{Z}, \boldsymbol{\beta})$ 的实际值是不可能的。因此本文用 式(13)得到 $p(L_i = l \mid Z, \beta)$ 的估计值,以期计算式 (21),从而获得参数**B**的估计值。

3.3 EM/MPM 算法流程

EM/MPM 算法综合运用了上述 EM 和 MPM 算 法。其中 MPM 算法用于图像分割, EM 算法用于参 数估计。EM/MPM 算法的实现步骤如下:

(1)初始化参数 $\boldsymbol{\beta}$,记为 $\boldsymbol{\beta}(0)$ 。

(2)采用参数 $\beta(\tau-1)$,执行 T_a 次的 MPM 算法 (本文实验中采用 500 次),利用式(13)得到标号场 L 的 MPM 估计。

(3)利用步骤(2)中得到的标号场 L 的 MPM 估 计,分别代入式(21)得到参数 $\boldsymbol{\beta}$ 的估计值 $\boldsymbol{\beta}(\boldsymbol{\tau})$ 。

(4)返回步骤(2),直到达到预订的总循环次数或 者参数β 收敛到稳定值。

实验结果及讨论 4

为了验证本文算法的可行性和有效性,分别对 模拟 SAR 图像及真实 SAR 图像进行了分割实验。

首先,生成视数为4同质区域为5个、尺度为 128×128 像素的模拟 SAR 图像。图 2(a)为生成的 模拟图像的同质区域模板,其中编号1-5分别代表 不同的同质区域。表1列出各同质区域的 Gamma 分布参数。

在构建模拟 SAR 图像时,不同同质区域对应的 Gamma分布尺度参数选择了较为接近的数值。 图 2(b)所示为生成的模拟图像。



表1 模拟图像各同质区域的 Gamma 分布参数

全粉			同质区域		
参奴	1	2	3	4	5
α	4	4	4	4	4
β	10	35	20	25	15

由于本文方法可以看作是基于像素的 MRF 模 型的扩展,因此采用本文方法和基于像素的 MRF 分割方法(Cao 等, 2005; Comer 和 Delp, 2000)分别 对图 2(b)中的模拟 SAR 图像进行了分割实验,分割 结果见图 3。在本文分割方法中设置 EM 参数估计 的迭代次数为100,获取 MPM 分割优化解的迭代次 数为 500。图 3(a)和图 3(b)分别为本文方法中初始 Voronoi 多边形个数为 64 对应的最终 Voronoi 划分 结果(每一个 Voronoi 多边形被随机分配一种彩色进 行显示.最终的 Voronoi 多边形数为 30)和最优分割 结果,图3(c)为基于像素的MRF 算法分割结果,其 中图 3(b)和图 3(c)中各同质区域分别以算法估计得 到的该区域像素灰度平均值进行显示。对比两种 分割结果可以发现,本文算法将模拟 SAR 图像中5 个同质区域较好地分割开来,同时较好地拟合了各 同质区域的边界,但基于像素 MRF 方法的分割结 果虽然在各个同质区域像素灰度平均值上和本文 算法相接近,但是却将不同的区域混淆在一起,原 因在于基于像素的 MRF 模型只考虑了邻域像素之 间的相关性,没有考虑区域内像素间及区域与区域 之间的相互关系,因而不能克服 SAR 图像斑点噪声 带来的图像分割困难。



(a) Voronoi划分

图 3 分割结果

表2列出两种算法估计得到的 Gamma 分布的 尺度参数的估计值及与估计误差值。与表1列出的 实际 Gamma 分布参数比较,可以看出,本文方法不 仅可以精确分割图像,还可以得到更为准确的分布 参数估计值。

表 2 各同质区域尺度分布参数估计值及其误差

N	全粉	同质区域						
	愛奴	1	2	3	4	5		
本文方法	$\boldsymbol{\beta}_{\scriptscriptstyle e}$	10.05	33.64	20.04	24.62	15.12		
	$e_{m eta}/\%$	0.47	1.37	0.04	0.38	0.12		
MRF 方法	$\boldsymbol{\beta}_{\scriptscriptstyle e}$	11.27	35.37	16.18	24.38	12.50		
	$e_{m eta}/\%$	1.27	0.37	3.72	0.62	2.50		

为了从视觉角度评估本文最优分割结果,本文 提取了分割区域的轮廓线,如图 4(a),并将该轮廓线 分别叠加在最终的 Voronoi 划分图上和模拟 SAR 图 像上,分别见图 4(b)和图 4(c)。从图 4(b)可以看出同 质区域可以由一定数量的 Voronoi 多边形拟合而 成,尤其是最不容易拟合的圆形在本算法中亦能够 很好的拟合。而图 4(c)则显示分割同质区域轮廓线 和原始图像叠加效果,说明算法可以得到很高的分 割精度。尽管构造模拟图像时各个区域之间的 Gamma 分布参数相差不大,但算法仍然能够准确地 区分它们,说明本文算法具有很强的区分不同同质 区域的能力。



图 5 所示为本文方法得到的该模拟 SAR 图像 同质区域内像素强度 Gamma 分布尺度参数 β 的变 化,其中 C1—C5 表示与生成模拟图像时采用的模 板相对应的类别。可以看出各同质区域的 Gamma 分布的尺度参数很快收敛到各自的稳态值。

为了对提出的算法进行定量的评估,将生成模 拟 SAR 图像的模板作为参考图像,与本文算法和基 于像素的 MRF 算法得到的分割结果(图 3(b)和图 3 (c))逐一像素进行正确性分类对比,计算得到混淆矩 阵,并据此分别计算出产品精度、用户精度和总精 度(表 3)。



图 5 β 参数变化

表 3 用户精度、产品精度、总精度和 Kappa 值 /%

	粘 定 中央			同质区均	或	
	相及	1	2	3	4	5
	用户精度	99.61	99.06	99.63	99.12	99.22
本文	产品精度	99.94	99.20	99.42	99.84	98.41
方法	总精度			99.34		
	Kappa			0.99		
	用户精度	51.01	75.93	65.37	51.48	36.86
MRF	产品精度	26.42	79.98	65.35	56.15	40.26
方法	总精度			44.59	3.01	
	Kappa		-51	0.31		
			ATV '	5		

本文中,用户精度表示对分类结果中所有像 素,其所具有的类型与参考图像类型相同的概率: 产品精度表示相对于参考图像中的任意一个像素, 分类图上同一像素的分类结果与其相一致的条件 概率:总精度表述的是每一个像素,所分类的结果 与参考图像的实际类型相一致的概率。对于本文 方法,各项精度指标均达98%以上。根据上述精度 可以计算该分割算法的 Kappa 值,即通过把所有参 考图像中的像元总数乘以混淆矩阵对角线的和,再 减去某一类参考图像像元总数与被误分成该类像 元总数之积对所有类别求和的结果,再除以总像元 数的平方差减去某一类中参考图像像元总数与该 类中被分类像元总数之积对所有类别求和的结果 所得到的。Kappa 值越大,分类结果的精度越高。 本算法中计算得到 Kappa=0.99。对一般分类器该 值达0.8 以上即为优质分类器 (Congaltonr 和 Green, 2008)。对于基于像素的 MRF 分割方法总体精度仅 为44.59%, Kappa 值仅为0.31, 对比可以发现本文 算法的有效性和准确性。

在基于 MPM 算法分割过程中,划分的多边形个数 m 为变量,在分割之前需要对 m 进行初始值设

置。为了验证初始多边形对划分后用于拟合同质 区域的多边形数量或 Voronoi 多边形包含的平均像 素数的影响,本文进行了不同初始多边形个数(m分 别设置为48、64、80、96 和112)的对比分割实验。图 6 所示为 500 次迭代对应不同初始 Voronoi 多边形 的分割过程中 m 的变化曲线图,其中横轴表示迭代 次数,纵轴表示m值。从图6可以发现对于不同的 初始值 m,在迭代循环过程中,多边形的数目 m 很 快由初始值收敛至某一稳定值附近,且最终划分的 Voronoi 多边形数均趋近于稳定值。如对上述初始 设置的 m 值,经算法 500 次迭代最终 Voronoi 数分 别为29、30、36、35和33。实验结果表明,本文算法 总是试图用最少数量的 Voronoi 多边形拟合同质区 域。该实验说明初始多边形的个数设置对最终划 分多边形的个数影响很小。因此,虽然本文中划分 方法属于随机方法,但是该算法对用于拟合各个同 质区域的多边形的数量具有较好的稳定性。此外, 采用本文方法,对图7中视数分别为2、3、4,同质区 域(类别)个数分别为4、3、4的3幅真实 RADARSAT-I/II SAR 强度图像进行了分割实验。 图 8(a)—(c)为最优的分割结果,图 8(d)—(f)为提取 的分割同质区域边界线,图8(g)--(i)和图8(j)--(l)分 别为边界线和最终 Voronoi 划分及原始图像叠加的 结果。从图8结果可以看出,采用本文方法对不同 视数的真实 SAR 图像分割均取得了较好的效果。





5 结 论

针对基于区域和统计的分割方法进行研究,提 出了一种结合 Voronoi 划分技术、EM/MPM 算法的 多视 SAR 图像分割方法,分别对模拟 SAR 图像和 真实 SAR 图像进行了分割实验,并和传统的基于像 素的 MRF 分割方法进行了对比,定性和定量的测 试结果验证了本文方法的有效性、可靠性和准确 性。在实验过程中,进行了初始划分多边形的个数 设置对最终划分多边形数量的影响分析,发现初始 多边形的个数对最终的划分多边形的个数几乎没 有影响,这也证明了本文算法对于划分的稳定性。 虽然本文实验结果验证了利用 Voronoi 多边形拟合 同质区域几何形状的可行性,但由于仅仅设计了移 动生成点以及增加和删除生成点两种简单的操作 改变 Voronoi 多边形的形态,因此在某些情况下对 同质区域边界(如非光滑边缘)的拟合结果不甚理 想。在今后的工作中,对如何进一步提高同质区域 边缘的拟合精度需要继续从理论上进行研究,如设 计其他可以改变 Voronoi 多边形形态的操作,各种 不同的划分技术(规则、Voronoi、Poisson 和 Leave 划 分)对边界拟合的作用和影响;在优化算法上的改进 可以部分解决同质区域边界拟合精度,在未来的工 作将进一步借鉴和比较不同优化算法的适用性;本 文方法假定多视 SAR 图像像素强度服从 Gamma 分 布的形状参数等于其视数,这一条件只有在各视之 间相互独立的情况下得以满足,但实际上各视之间 不可避免的存在着相关性,因此,接下来应进一步 将形状参数 α 作为参数进行估计来完成 SAR 图像 分割。

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启功先生创刊题名



封面说明

About the Cover 2010年中国土地覆被遥感监测数据集 (ChinaCover2010) The China National Land Cover Data for 2010 (ChinaCover2010)

2010 年中国土地覆被遥感监测数据集(ChinaCover2010)由中国科学院遥感与数字地球研究所联合其他 9 个单位历时两年完成,应用 30 m 空间 分辨率的环境星(HJ-1A/1B)数据,利用联合国粮农组织(FAO)的 LCCS 分类工具,构建了适用于中国生态特征的 38 类土地覆被分类系统,采 用基于超算平台的数据预处理、面向对象的自动分类、地面调查获得的 10 万个野外样本以及雷达数据辅助分类相结合的方法,数据精度达到 85%。 ChinaCover2010主要基于国产卫星影像,将遥感与生态紧密结合,充足的野外样点以及严格的产品质量控制在最大程度上保证了数据的精度,可为中 国生态环境变化评估以及生态系统碳估算提供基础数据支撑。(网址:http://www.chinacover.org.cn)

The China National Land Cover Data for 2010 (ChinaCover2010) has been completed after two years of team effort by the Institute of Remote Sensing and Digital Earth (RADI), Chinese Academy of Sciences (CAS), together with nine other institutions' participation. The HJ-1A/1B satellite at 30 m resolution is main data source. Based on the landscape features in China, 38 land cover classes have been defined using UN FAO Land Cover Classification System (LCCS). Super computers were used in the data preprocessing. An object-oriented method and a thorough field survey (about 100000 field samples) were used in the land cover classification, with radar imagery as auxiliary data. The overall accuracy of ChinaCover2010 is around 85%. Mainly based on domestic imagery, the products take advantage of various in situ data and strict quality control. ChinaCover2010 is a good dataset for ecological environment change assessment and terrestrial carbon budget studies. (Website: http://www.chinacover.org.cn)

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JOURNAL OF REMOTE SENSING

YAOGAN XUEBAO (双月刊 1997年创刊) 第17卷 第4期 2013年7月25日

(Bimonthly, Started in 1997) Vol.17 No.4 July 25, 2013

主	管	中国科学院	Superintended	by	Chinese Academy of Sciences
主	办	中国科学院遥感与数字地球研究所	Sponsored	by	Institute of Remote Sensing and Digital Earth, CAS
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出	版	斜学出版社	Published	by	Science Press
印刷装	专订	北京科信印刷有限公司	Printed	by	Beijing Kexin Printing Co. Ltd.
总发	行	斜学出版社	Distributed	by	Science Press
	00 5 50	北京东黄城根北街1 6号 邮政编码:100717			Add: 16 Donghuangchenggen North Street, Beijing 100717, China
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中国标准连续出版物号: ISSN 1007-4619 CN 11-3841/TP

CODEN YXAUAB

国内邮发代号: 82-324

国外发行代号: BM 1002

定价: 70.00元

